

## MAINE

### University of Maine System

- Governing board
- Data collected from 4-year public institutions in the system
- The Maine Department of Education has received 2 NCEs Statewide Longitudinal Data Systems grants (FY07, FY09 [ARRA]), totaling over \$10.5 million. The agency included its postsecondary and labor partners in the FY2009 Request for Application made possible through the American Recovery & Reinvestment Act.

#### BACKGROUND INFORMATION

SUR NAME **N/A**

Year SUR Established **2008**

Last period of upgrade/modification **Since 2000**

Start up funds received **n/a**

Recurring funds received **n/a**

#### Reasons SUR was Established

- awarding financial aid
- IPEDS reporting
- tracking student retention/graduation
- tracking students across institutions
- other reason (*upgrading of legacy system*)

#### Legal Authority to Collect Data

- state law creating coordinating or governing board

#### INSTITUTIONAL COVERAGE, TYPES OF DATA, AND METADATA

##### Institutional Coverage

- 4-year public

##### IPEDS Roles

###### Verification

- keyholder

###### Reporting

- coordinator

##### Participation in IPEDS Surveys

- Institutional Characteristics (IC)
- 12-month Enrollment (E12)
- Completions (C)
- Human Resources (Employees by Assigned Position (EAP), Fall Staff (S), and Salaries (SA))
- Fall Enrollment (EF)
- Graduation Rate (GRS)
- Finance (F)
- Student Financial Aid (SFA)

##### Types of Data Collected

- K-12 academic history (*high school, admissions test scores*)
- postsecondary academic history (*placement test scores, transfer*)
- demographic
- postsecondary enrollment
- course-level information
- finance (*tuition, fees, fiscal management*)
- financial aid
- completions
- non-credit instructional activity (*pre-college courses [remedial, developmental]*)
- faculty/staff
- institutional characteristics
- Adult Basic Education (*GED, Adult Basic Education, English as a Second Language*)
- labor/workforce/Unemployment Insurance

#### Authority to Change Data Elements/Definitions

- agency/entity staff
- group that includes appropriate institutional/campus personnel

#### Internal Primary Key(s) to Build Longitudinal Records

- internally generated student identifier

#### Metadata

##### business procedures

- data element dictionary (embedded in data system)

##### technical procedures

- data validation/data checking procedure
- statistical mechanisms used to alert institutional user of successful or unsuccessful data validation

#### USES OF SUR DATA

##### Current Uses and Purposes

- decision-/policymaking
- generating reports and statistics (internal and external)
- consumer information for prospective students
- research
- cross-sector collaboration (K-12, labor, etc.)
- other purpose (*audit compliance*)

##### Types of Reports

- completions
- distance education
- dual credit/dual enrollment
- enrollment
- financial aid
- institutional profile, public
- remediation
- retention
- transfer
- tuition/fees/college costs

##### Audiences Receiving Some or All Reports

governor's office, legislature, coordinating or governing board, K-12 (agency, districts, and/or schools), other state agencies, federal agencies, general public, other audience (nonprofits, businesses, university administrators, presidents' council, P20 Council)



**LINKING ARRANGEMENTS WITH AGENCIES, STATES, AND ORGANIZATIONS**

**State P-20 Data Warehouse** no

**Links to State Agencies/Entities**

-labor/workforce

**Access to labor/workforce agency elements** no

**Links to Other States** no

**Links to External Organizations** no

**Primary IDs Used in Matching**

-Social Security Number

**Demographic Elements Used in Matching** no

**Legal Mechanisms Enabling Linking** not applicable

**Barriers to Linking**

-resources

-lack of common identifiers/crosswalks

-incompatible systems

**MECHANISMS TO ENSURE DATA QUALITY**

**Responsibility for Entering Data**

-appropriate institutional/campus personnel

**Responsibility for Checking/Verifying Data**

-appropriate institutional/campus personnel

**Method of Informing IR Personnel of Data Submission Inconsistencies**

-manual notification (e.g., email, phone call from agency/entity)

**On-site Institutional Data Audits** no

**Professional Development for IR Professionals** yes

**Mandatory?** no

**Frequency** annually and as needed

## DATA ELEMENTS COLLECTED

**Postsecondary Elements (36)***demographic*

- ✓ - student name
- ✓ - date of birth
- ✓ - gender
- ✓ - race/ethnicity
- ✓ - Social Security Number
- K-12 identification number
- ✓ - Institutional identification number
- ✓ - student identification number
- ✓ - citizenship
- ✓ - state residency status

*postsecondary academic history*

- ✓ - admissions scores
- ✓ - placement scores
- ✓ - prior college(s) attended
- ✓ - transfer credits

*enrollment status*

- ✓ - degree seeking status
- ✓ - attendance status (full-/part-time)
- ✓ - 1<sup>st</sup> term of academic history
- ✓ - program/major

*financial aid*

- ✓ - dependency status
- ✓ - family income
- ✓ - federal financial aid
- ✓ - state financial aid
- ✓ - institutional financial aid
- ✓ - other financial aid
- ✓ - merit-based financial aid
- ✓ - need-based financial aid
- ✓ - FAFSA fields

*academic activity*

- ✓ - course title
- ✓ - course mode of instruction
- ✓ - course grade
- ✓ - term student credit hours (SCH) attempted
- ✓ - term SCH earned

*academic attainment*

- ✓ - degree awarded
- ✓ - degree date
- ✓ - cumulative SCH earned
- ✓ - cumulative GPA

**K-12 Elements (13)***demographic*

- student free and reduced-price lunch eligibility
- language spoken at home
- disability status

*high school background*

- ✓ - high school attended
- district/school code
- student resident county-district code

*academic activity*

- date student enrolled
- course type
- course title
- course grade

*academic attainment*

- high school GPA
- ✓ - high school graduation date
- assessment scores

**Labor/Workforce Elements (15)**

- employer ID number
- employer size (# of employees monthly)
- employer county
- wages earned
- wage type code
- hours worked
- employment quarter code
- employment year
- date student/employee applied for Unemployment Insurance (UI)
- date student first received UI check
- other agencies providing services to student while student is receiving UI
- U.S. Census North American Industry Classification System (NAICS) code
- U.S. Census NAICS title
- U.S. Department of Labor Standard Occupational Classification (SOC) code
- U.S. Department of Labor SOC title